

FORM PTO-1449 (SUBSTITUTE)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Attorney Docket No.:  
P2000,0167 CIP

Applic. No.  
09/927,573

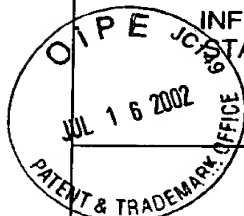
Applicant

Herbert Palm et al.

Filing Date  
August 9, 2001

Group Art Unit  
2811

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(37 CFR 1.98(b))



U.S. PATENT DOCUMENTS

EXAMINER INITIALS		PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE
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	C						
	D						
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FOREIGN PATENT DOCUMENT

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES   NO
Tb	J	84 113 408	07/11/97	Taiwan			X
	K						
	L						
	M						
	N						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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	P	

EXAMINER

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Sheet 1 of 1

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## U.S. PATENT DOCUMENTS

EXAMINER INITIALS		PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE
TB	A	3,731,163	05/01/73	Shuskus			
TB	B	5,966,603	10/12/99	Eitan			
TB	C	5,973,358	10/26/99	Kishi			
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## FOREIGN PATENT DOCUMENT

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES   NO
TB	J	29 46 864 A1	06/04/80	Germany			X
TB	K	0 967 654 A1	12/29/99	Europe			X
TB	L	04 012 573	01/17/92	Japan			X
	M						
	N						

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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FORM PTO-1449 (SUBSTITUTE)	Attorney Docket No.: P2000,0167 C	CIP of Applic. No. 09/900,654
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Applicant Herbert Palm et al.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))	Filing Date of CIP August 9, 2001	Group Art Unit

jc972 U.S. PRO  
09/927573  
08/09/01

EXAMINER INITIALS		PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE
TD	A	5,768,192	06/98	Eitan			
TD	B	6,011,725	01/00	Eitan			
TD	C	6,191,459	02/01	Hofmann et al.			
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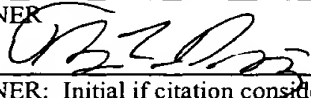
## FOREIGN PATENT DOCUMENT

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES   NO
TD	J	195 45 903 A1	06/97	Germany			x
TD	K	196 00 423 A1	07/97	Germany			x
TD	L	196 00 422 C1	08/97	Germany			x
	M	196 03 810 C1	08/97	Germany			x
	N						

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

TD	O	International Publication No. WO 99/60631 (Eitan et al.), dated November 25, 1999.
TD	P	"A Sub-0.1- $\mu$ m Grooved Gate MOSFET with High Immunity to Short-Channel Effects" (Tanaka et al.), IEDM 93, Chapter 21.1.1, pp. 537-40.

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DATE CONSIDERED

4/8/03

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	J							
	K							
	L							
	M							
	N							

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

75	Q	"A Flash EEPROM Cell with Self-Aligned Trench Transistor & Isolation Structure" (Nakagawa et al.), 2000 Symposium on VLSI Technology Digest of Technical Papers.

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